

# HL ESTATES TRANSFER FORM

Office use only  
IMST3

## Use this form to transfer to an HL Estates Account.

Please check all personal details carefully and fill in any missing information.

### Section 1 Details of the deceased

Title (Mr, Mrs etc)	Forename(s)											
Surname						*Date of birth	D	D	M	M	Y	Y
Nationality	National insurance no.											

\* to set up online access you'll need a memorable date, this will be the date of birth of the deceased.

### Section 2 First executor – we will send all correspondence to this address

Title (Mr, Mrs etc)	Forename(s)							Surname					
Address line 1													
Address line 2													
Address line 3													
Address line 4													
Address line 5													
Postcode	Daytime tel. no.						Date of birth	D	D	M	M	Y	Y
Nationality	National insurance no.										If you don't have a NI No. tick here	<input checked="" type="checkbox"/>	
Email address													
Hargreaves Lansdown would like to contact you about the services we offer which may be of interest to you. We explain how we use your personal data in our Privacy Policy. If you would like to hear from us, please tick the relevant box(es) below:													
<input checked="" type="checkbox"/> <b>Email</b> – please contact me by email													
<input checked="" type="checkbox"/> <b>Phone</b> – please contact me by phone													
I confirm that I am an executor of the above estate. <b>I agree to the declaration overleaf.</b>													
Signature: <b>X</b>						Date:	<b>X</b>	D	D	M	M	Y	Y

### Section 3 Second executor – if there are other executors, please continue on a separate sheet

Title (Mr, Mrs etc)	Forename(s)							Surname					
Address line 1													
Address line 2													
Address line 3													
Address line 4													
Address line 5													
Postcode	Daytime tel. no.						Date of birth	D	D	M	M	Y	Y
Nationality	National insurance no.										If you don't have a NI No. tick here	<input checked="" type="checkbox"/>	
I confirm that I am an executor of the above estate. <b>I agree to the declaration overleaf.</b>													
Signature: <b>X</b>						Date:	<b>X</b>	D	D	M	M	Y	Y

### Section 4 Nominated bank account – this must be in the name of the estate

This is where we will pay any income or cash withdrawals.

Name of Account Holder	Sort Code			-			-		
Roll Number or Building Soc. Ref	Account Number								

## Section 5 Transfer authority

Tick here to transfer the **entire** account to Hargreaves Lansdown

Account number

I authorise Witan to discuss this transfer with Hargreaves Lansdown, including by telephone and to cancel my associated monthly savings with immediate effect.

**I have read and agreed to the declaration below.**

First executor:

Second executor (if applicable):

Date:

## Section 6 Income instruction – once you've transferred, what would you like to do with any income from your investments?

Please select **one** option

**A**



**PAY INCOME OUT TO THE NOMINATED BANK ACCOUNT**

**B**



**HOLD INCOME AS CASH ON THE ACCOUNT**

## Section 7 Declaration – please sign and agree above

For your own benefit and protection you should read our Terms & Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information.

When you use our services we will take this as acceptance and agreement of our Terms, and you will be bound by them. The following declaration must be given by you in your capacity as an executor of the estate.

I have read, retained and agree to the Terms & Conditions, the Important Investment Notes and Key Features of the HL Fund and Share Account and our chosen investment including all costs and charges and, where available, Key (Investor) Information Document provided to us on paper or via [www.hl.co.uk](http://www.hl.co.uk).

I authorise the current provider of the investment(s) named to provide Hargreaves Lansdown with any information they require regarding the accounts managed on our behalf, including, but not limited to, transaction and dividend histories and details of the current holdings and their values. I separately authorise Hargreaves Lansdown to submit all information on this transfer authority to the current provider.

I confirm that the transfer of the investment(s) named will not result in any change of beneficial ownership from or among account holders.

**Stock transfers:** I authorise the current provider to transfer our holdings in the investment(s) named to Hargreaves Lansdown Nominees Ltd a/c HLNOM, and to send further commission due on this investment, now or in the future, to Hargreaves Lansdown.

**To Hargreaves Lansdown Asset Management:** I declare that the executor(s) are the legal holder(s) of the holdings mentioned above and that they are held in our name. I understand that no dealing instructions can be placed on these holdings until they are lodged in the HL Fund and Share Account.

I confirm that the executor(s) of the estate have not received advice as to the suitability of the investments for their circumstances from Hargreaves Lansdown.

# HOW TO PROCEED

### What happens next?

You'll receive two letters. One containing your client number and the other a temporary PIN.

To set up online access you'll be asked for the client number, PIN and a memorable date. The memorable date will be the date of birth of the deceased.

### Q. Do I need to include extra documents with my application?

**A.** If you're an executor listed on the Will, then you'll need to include the Grant of Probate.

### Q. What charges will I pay?

**A.** Standard HL charges will apply, including the £12.50 charge to transfer stock internally to another HL account.

If you have any questions, call us on **0117 980 9872**, we'd be happy to help.

Return your application to:

**Freepost HARGREAVES LANSDOWN**